

#3

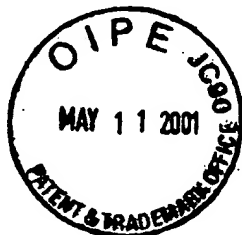


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/771,459	01/26/2001	Rocco Pellegrinelli	595-021PA

King and Schickli, PLLC
247 North Broadway
Lexington, KY 40507



CONFIRMATION NO. 6223

FORMALITIES LETTER



OC000000005846316

Date Mailed: 03/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/14/2001 MH0HAMH1 00000071 110978 09771459

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Leslie

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application of

Applicants : Rocco Pellegrinelli, Luca Spampinato, and Sandro Bottarelli
Serial No. : 09/771,459
Filed : January 26, 2001
Title : IMPROVEMENTS RELATING TO DATA DISTRIBUTION
Docket : 595-021PA
Art Unit : 2672

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on May 8, 2001.

Richard C. Stevens

Reg. No. 28,046

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

FILING OF MISSING PARTS

Pursuant to the Notice to File Missing Parts of NonProvisional Application mailed March 9, 2001 in the above-identified patent application, enclosed herewith is 1) a properly signed Declaration in compliance with 37 CFR 1.63 identifying the application by the above Serial Number and Filing Date; 2) substitute drawings in compliance with 37 CFR 1.84; 3) a letter requesting corrections to the Filing Receipt; and 4) the return copy of the Notice.

Please charge Deposit Account No. 11-0978 in the amount of \$65 as set forth in 37 CFR 1.16(e).

Respectfully submitted,

KING & SCHICKLI, PLLC

By

Richard C. Stevens, Reg. No. 28,046

7019 Corporate Way
Dayton, Ohio 45459-4238
Telephone: (937) 438-6848
Facsimile: (937) 438-2124

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of


Applicants : Rocco Pellegrinelli, Luca Spampinato, and Sandro Bottarelli
Serial No. : 09/771,459
Filed : January 26, 2001
Title : IMPROVEMENTS RELATING TO DATA DISTRIBUTION
Docket : 595-021PA
Art Unit : 2672



OFFICIAL DRAFTSPERSON
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

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Richard C. Stevens Reg. No. 28,046

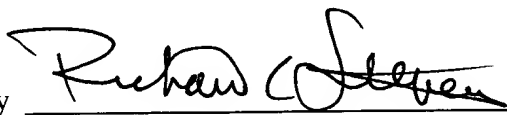
Sir:

TRANSMITTAL OF FORMAL DRAWINGS

Enclosed herewith are formal drawings for the above-identified patent application which are being submitted as required in the Notice to File Missing Parts of NonProvisional Application dated 3/09/2001.

Respectfully submitted,

KING & SCHICKLI, PLLC

By 
Richard C. Stevens, Reg. No. 28,046

7019 Corporate Way
Dayton, Ohio 45459-4238
Telephone: (937) 438-6848
Facsimile: (937) 438-2124



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : Rocco Pellegrinelli, Luca Spampinato, and Sandro Bottarelli
Serial No. : 09/771,459
Filing Date : January 26, 2001
Title : IMPROVEMENTS RELATING TO DATA DISTRIBUTION
Docket : 595-021PA
Art Unit : 2672

LETTER REQUESTING CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

During a review of the Filing Receipt, we noted the name of the third inventor was not listed. The third inventor is **-SANDRO BOTTARELLI, LUGANO, SWITZERLAND--**.

Also the title should be **--IMPROVEMENTS RELATING TO -- DATA DISTRIBUTION**.

Therefore, we are requesting a corrected Filing Receipt be issued as soon as possible. A copy of the Filing Receipt showing the corrections requested is attached.

Respectfully submitted,

KING AND SCHICKLI

Richard C. Stevens
Registration No. 28,046

7019 Corporate Way
Dayton OH 45459-4238
Telephone: 937/438-6848
Facsimile: 937/438-2124

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on May 8, 2001.

Richard C. Stevens

Reg. No. 28,046



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/771,459	01/26/2001	2672	503	595-021PA	4	32	4

CONFIRMATION NO. 6223

FILING RECEIPT



OC000000005846315

King and Schickli, PLLC
247 North Broadway
Lexington, KY 40507



Date Mailed: 03/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rocco Pellegrinelli, Ruvigliana, SWITZERLAND;
Luca Spampinato, Cassano Magnago (VA), ITALY;
Sandro Bottarelli, Lugano, SWITZERLAND

Continuing Data as Claimed by Applicant

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 00300741.6 01/31/2000

If Required, Foreign Filing License Granted 03/08/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title *Improvements Relating to*
Data distribution

Preliminary Class

Date: 03/09/2001

~~CONFIDENTIAL~~



N sector 18

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/771,459	
	Filing Date	January 26, 2001	
	First Named Inventor	Pellegrinelli, R.	
	Group Art Unit	2672	
	Examiner Name		
Total Number of Pages in This Submission	18	Attorney Docket Number	595-021PA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Letter Requesting Correction of Filing Receipt; Return postcard
Remarks Drawings include 4 pages and Letter to Draftsperson		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Richard C. Stevens; King and Schickli, PLLC
Signature	<i>Richard C. Stevens</i>
Date	5/8/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 5/8/01	
Typed or printed name	Richard C. Stevens
Signature	<i>Richard C. Stevens</i>
Date	5/8/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 65.00

Complete if Known

Application Number	09/771,459
Filing Date	January 26, 2001
First Named Inventor	Pellegrinelli, R.
Examiner Name	
Group Art Unit	2672
Attorney Docket No.	595-021PA

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 11-0978

Deposit Account Name: King & Schickli PLLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1) (\$)			0.00

2. EXTRA CLAIM FEES

Total Claims: -20** = X =

Independent Claims: - 3** = X =

Multiple Dependent: =

Fee from below:

Fee Paid:

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			
SUBTOTAL (3) (\$)			65.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Richard C. Stevens	Registration No. (Attorney/Agent)	28,046	Telephone	937-438-6848
Signature	<i>Richard C. Stevens</i>	Date	5/8/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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